

L8: Entry 10 of 11 File: USPT

DOCUMENT-IDENTIFIER: US 5380534 A

TITLE: Soft gelatin medicament capsules with gripping construction

Abstract Text (1):

Knurled surfaces such as raised ribs are provided on the shell of a soft gelatin capsule in order to enhance gripping and manipulation of the capsule. The capsule has a removable tab at one end thereof which may also be provided with a knurled surface. One embodiment of the invention is a capsule used for delivery of medicaments to an external body surface. An alternative embodiment of the capsule is disclosed for insertion into a body orifice. The composition of the capsule includes a starch or starch derivative which gives the capsule a drier feel and increases the coefficient of friction of the surface of the shell, further improving the capsule shandling characteristics.

Brief Summary Text (3):

The present invention relates generally to disposable soft gelatin medicament <u>capsules</u>. More particularly, the present invention relates to a novel and <u>advantageous</u> gripping construction and composition for soft gelatin medicament capsules.

Brief Summary Text (5):

Soft gelatin <u>capsules</u> are used for delivery of medicaments, including medicinal preparations, topical lotions, cosmetics and the like, to external body surfaces. Such <u>capsules</u> are also used for delivery of medicaments to tissues within body orifices. Delivery of the medicament, which is stored within the <u>capsule</u>, is accomplished by removing a portion of the <u>capsule</u> shell (typically by twisting or tearing off a tab), and then squeezing the <u>capsule</u> shell, thereby forcing the medicament from the <u>capsule</u>. Several patents disclosing representative soft gelatin <u>capsules</u> are U.S. Pat. No. 2,134,489 issued to Scherer, U.S. Pat. No. 2,334,600 issued to Boysen, U.S. Pat. No. 2,397,051 issued to Scherer, U.S. Pat. No. 4,278,633 issued to Fujii, and U.S. Pat. No. 5,063,057 issued to Spellman et al.

Brief Summary Text (6):

Soft gelatin <u>capsules</u> are often small in size since only a small quantity of medicament is stored therein. Furthermore, soft gelatin <u>capsules</u> are typically composed largely of gelatin or gelatinous materials. Such materials tend to have a smooth exterior surface with a low coefficient of static friction. Because of the <u>capsule's</u> small size and slippery surface, the user often has difficulty in performing the tasks required to complete the delivery of the medicament, that is, twisting or tearing off of the tab and compressing the <u>capsule</u> shell. This difficulty is even more compounded if the user's hands, or the <u>capsule</u>, are wet or oily, for example, due to bodily excretion or lubrication. Heretofore, a soft gelatin medicament capsule overcoming these difficulties has eluded the art

Brief Summary Text (8):

A <u>capsule</u> is provided which comprises a hollow shell suitable for encapsulating a medicament. The shell has an exterior surface which is provided with a knurled texture region of sufficient area so as to enhance manipulation of the said <u>capsule</u>. The <u>capsule</u> further includes a removable tab integrally formed with the shell to seal the <u>capsule</u>. The medicament is expelled from the shell upon removal of the said tab and application of pressure to the shell. Since the shell, and preferably also

the tab, have knurled surfaces, the difficulties of use associated with prior art capsules is largely eliminated.

Brief Summary Text (9):

In one embodiment of the invention, the shell is formed as an elongated body having top and bottom flattened portions, with the knurled texture region applied to both the top and bottom flattened portions. In an alternative embodiment of the invention, a <u>capsule</u> is provided which is suitable for insertion into an orifice, such as the rectum. In this alternative embodiment, the shell comprises an elongated neck portion and a bulb portion, with the knurled texture region applied to the bulb portion. In both embodiments, the removable tab may be provided with a knurled texture surface.

Brief Summary Text (10):

In yet another aspect of the invention, starch or starch derivatives are added to the base gelatin composition during manufacture. This addition increases the coefficient of friction on the exterior surface of the <u>capsule</u>, shell and tab and thus further improves the ease of handling and manipulation of the capsule.

Brief Summary Text (11):

Accordingly, a principal object of the present invention is to provide a soft gelatin <u>capsule</u> which has improved gripping and handling characteristics to facilitate delivery of the encapsulated medicament to an exterior body surface.

Brief Summary Text (12):

A further object of the present invention to provide a soft gelatin <u>capsule</u> suitable for insertion into a body orifice which has improved gripping and handling characteristics, thereby facilitating medicament delivery to internal tissues.

Brief Summary Text (13):

Yet another object of the invention is provide a soft gelatin <u>capsule</u> which permits easier removal of the tab and expulsion of the medicament from the capsule.

Drawing Description Text (3):

FIG. 1 is a perspective view of a <u>capsule</u> according to the preferred embodiment of the present invention, showing a <u>knurled</u> texture applied to the shell and tab portions of the <u>capsule</u>;

Drawing Description Text (4):

FIG. 2 is a top view of the <u>capsule</u> of FIG. 1 showing the top flattened portion of the shell having a knurled texture applied to the exterior surface thereof;

Drawing Description Text (5):

FIG. 3 is a side elevational view of the capsule of FIGS. 1 and 2;

Drawing Description Text (6):

FIG. 4 is a cross-sectional view of the capsule of FIGS. 1-3; and

Drawing Description Text (7):

FIG. 5 is a perspective view of a <u>capsule</u> according to an alternative embodiment of the invention, showing a knurled texture applied to the bulb and tab portions to improve gripping and handling of the capsule.

Detailed Description Text (2):

Referring now to FIGS. 1 through 3, a presently preferred embodiment of the invention is shown in perspective, top, and side elevational views, respectively. The embodiment of FIGS. 1-3 is particularly suitable for delivery of medicaments to an exterior bodily surface such as the skin. The embodiment of FIG. 5 is particularly suitable as a <u>capsule</u> for delivery of medicaments to tissues within a body orifice.

Detailed Description Text (3):

Referring now in particular to FIG. 1, the <u>capsule</u> 10 according to a preferred embodiment of the invention will be described first. The <u>capsule</u> 10 includes a hollow shell 12 which encapsulates the medicament, for example, a hemorrhoidal

preparation. The <u>capsule</u> 10 further includes a removable tab 14 integrally formed with the shell 12 to seal the <u>capsule</u> 10. The tab 14 is removed by gripping the shell 12 and twisting off the tab 14. The tab 14 may be hollow or solid, though the neck portion 15 should be desirably hollow in order to permit the contents of the <u>capsule</u> to be in communication with the external environment after the tab 14 has been removed.

Detailed Description Text (4):

The shell 12 has an exterior surface 16, a portion of which is provided with a knurled texture region 18 to enhance the gripping and manipulation of the <u>capsule</u> 10. The knurled texture region 18 is chosen to be of sufficient surface area to increase the ease of handling the <u>capsule</u> 10 and the removal of the tab 14. With smaller size <u>capsules</u>, it may be preferable to apply a knurled texture to a larger percentage of the surface area of the shell 12 than is illustrated in FIGS. 1-3.

Detailed Description Text (5):

In the embodiment of FIGS. 1-3, the shell 12 is shown as including top and bottom flattened portions 20 and 22. The flattened portions 20 and 22 provide a larger and flatter surface for the user's fingers than a rounded surface when pressure is applied to the shell 12 to force out the medicament. Of course, a <u>capsule</u> with the knurled texture region 18 can be provided without the flattened portion if desired.

Detailed Description Text (6):

The knurled texture region 18 of FIGS. 1-3 is shown as comprising a plurality of raised ribs 24 (slightly exaggerated in the figures) encircling the rear portion of the shell 12. Since both squeezing forces and forces along the central axis 26 in the direction of the tab 14 are required to expel the medicament from the <u>capsule</u> 10, it is preferable that the ribs 24 are applied to the exterior surface 16 of the shell 12 in a transverse orientation relative to the central axis 26. Since the thumb and forefinger are placed against the top and bottom flattened portions 20 and 22 during the squeezing of the shell 12, it is preferable to provide the knurled texture region on both the top and bottom portions 20 and 22.

Detailed Description Text (7):

The removable tab 14 of the <u>capsule</u> 10 is also shown as having a knurled texture region 28. The region 28 has a plurality of raised ribs 30 which facilitate the gripping of the tab 14 and the tearing or twisting of the tab 14 to open the capsule.

Detailed Description Text (8):

Raised rib structures, applied to exterior surface 16 of the shell 12, are the preferred gripping construction for the knurled texture region 18. The raised ribs 24 and 30 or other knurled texture is imparted to the gelatin ribbon prior to the manufacture and filling of the capsule.

Detailed Description Text (9):

Referring now to FIG. 4, the <u>capsule</u> 10 of FIGS. 1-3 is shown in vertical cross-section in a plane passing through the central axis 26 (FIG. 2). It can be seen from FIG. 4 that when the tab 14 is twisted or torn from the shell 12, an aperture 32 in the neck 15 is formed through which the medicament 34 is expelled from the capsule.

Detailed Description Text (10):

Referring now to FIG. 5, an alternative embodiment of the <u>capsule 10</u> according to the present invention is shown in perspective view The <u>capsule 10</u> includes a shell 40 and a removable tab 42. The shell 40 includes a slender neck portion 44 and a bulb portion 46. Knurled textures, shown as raised ribs 48 and 50, are applied to the bulb portion 46 and tab 42, respectively. Once the tab 42 is removed from the neck portion 44 of the shell, the neck is ready for insertion into an orifice for delivery of the medicament to the tissue therein. In the embodiment of FIG. 5, the ribs 48 encircle the bulb portion 46 and are oriented transverse to the central axis 52 of the shell 40. As with the embodiment of FIGS. 1-4, the knurled texture regions of the bulb 46 and tab 42 enhance the gripping and manipulation of the <u>capsule 10</u>.

<u>Detailed Description Text</u> (11):

As noted previously, the exterior surface of gelatin <u>capsules</u> tends to be very smooth and slippery. However, the addition of a starch or starch derivative to the gelatin base during manufacture of the <u>capsule</u> has been found to produce drier, more tactile, and less slippery characteristics to the <u>capsule</u> surface. <u>Capsules</u> made with 0.1% to 30% by weight starch or starch derivatives, and preferably 5% to 20% by weight starch or starch derivatives, are suitable for this purpose. Suitable starch derivatives include high amylose starch, oxidized starch, esterified starch, acid-thinned starch, etherified starch, hydrolyzed starch, hydrolyzed and hydrogenated starch, and enzyme-treated starch. Another advantage of the addition of starch to the <u>capsule</u> wall is that it rigidifies the wall. This is particularly advantageous in the neck portion 15 of the <u>capsule</u>, since it facilitates the manipulation of the <u>capsule</u> and the ease of twist off at the tab 14.

Detailed Description Text (12):

Other polysaccharide thickening agents in the range of 0.1% to 15% and preferably in the range of 2% to 10% by weight, may be incorporated into the <u>capsule</u> composition to modify the surface of the <u>capsule</u>. Suitable thickeners include agar, acacia, alginates, carrageenans, gellan, guar, karaya, locust bean gum, pectin, pullulan, tragacanth, and xanthan.

Detailed Description Text (14):

Miscellaneous gelatins in the amount of 0.1% to 50%, and preferably 5% to 40% by weight, may be incorporated into the <u>capsule</u> composition. They include hydrolysed gelatin, acylated gelatin and fish gelatin.

Detailed Description Text (15):

In addition, the plasticizer in the <u>capsule</u> shell may be modified by the use of one or more of the following materials, in the range of 2% to 40%, and preferably 5% to 30% by weight: partially dehydrated hydrogenated glucose syrups containing 1.4 sorbitans, polyglycerol, maltitol, and hydrogenated starch hydrolysate.

Detailed Description Text (16):

Preferable materials for the <u>capsule</u> 10 according to the present invention include high-amylose starch, starch, hydrolysed gelatin, maltitol and hydrogenated starch hydrolysate. A preferable composition for a dry (anhydrous) capsule shell 12 is:

Detailed Description Text (17):

Capsules according to the present invention may be made by conventional methods for producing soft gelatin capsules, e.g., the rotary die process, which are well known to those of skill in the art. The die used to form the capsules is simply conformed to the desired capsule shape. The knurled or textured portion of the inventive capsule may be made according to the general methods disclosed in copending U.S. patent application Ser. No. 07/302,424, filed Jan. 26, 1989, which is incorporated herein by reference. In order to produce the knurled portions in the desired positions on the capsule, one or more texturing roller assemblies may be positioned relative to the gelatin ribbon to achieve the desired gripping construction(s). In order to produce a cross hatched pattern a plurality of texturing roller assemblies may be used at transverse angles relative to each other.

Detailed Description Text (18):

Use of the inventive <u>capsules</u> is also straightforward. The <u>capsule</u> is advantageously gripped by the knurled portion(s) while the tab is twisted or torn off, thus exposing the internal contents of the <u>capsule</u> to the exterior. The flexible <u>capsule</u> walls may then be squeezed, once again advantageously by the knurled region(s), to force out the contents of the <u>capsule</u>. In the case of medicaments to be applied to the exterior of the body, the contents may be squeezed onto the skin, for example. In the case of medicaments for internal applications, such as hemorrhoidal preparations, the elongated neck may be inserted into the bodily cavity or orifice of interest, such as the rectum, and the contents then squeezed into the orifice.

Other Reference Publication (2):

W. Ebert, Soft Elastic Gelatin <u>Capsules:</u> A Unique Soesage Form, Pharmaceutical Technology, Oct. 1977.

Other Reference Publication (3):

J. Stanley, Soft Gelatin <u>Capsules</u>, The Theory and Practices of Industrial Pharmacy, Lachman, Leverman and Kanig, eds., 1970.

CLAIMS:

- 1. An integral soft gelatin <u>capsule</u>, <u>said capsule</u> defining a longitudinal axis, for containing a hemorrhoidal medicament in an unopened state and for applying the hemorrhoidal medicament in an opened state, comprising:
- (d) said soft gelatin including a starch or starch derivative providing a roughness to said integral capsule;
- 2. An integral $\underline{\text{capsule}}$ as claimed in claim 1 wherein said starch or starch derivative is present in said $\underline{\text{capsule}}$ in the amount of 0.1% to about 30% by weight to provide a roughness to said $\underline{\text{capsule}}$.